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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 55261

First Inventor Bob J. Dull

Title A METHOD FOR STABILIZING BRAN AND THE RESULTANT COMPOSITION

Express Mail Label No. EL759817822US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 15]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C.113) [Total Sheets]
5. Oath or Declaration [Total Pages 2]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: ____ /
Prior application information: Examiner ____ Group / Art Unit: ____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

(Insert Customer No. or attach bar code label here)

or ☐ Correspondence address below

Name

27148

PATENT TRADEMARK OFFICE

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Patrick C. Woolley

Registration No. (Attorney/Agent)

39,078

Signature

Date

11/1/01

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

TOTAL AMOUNT OF PAYMENT (\$) 454

Application Number
Filing Date
First Named Inventor Bob J. Dull
Examiner Name
Group / Art Unit
Attorney Docket No. 55261

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
- Deposit Account Number 50-1662
- Deposit Account Name Polsinelli Shalton & Welte, P.C.
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	370
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 370)

2. EXTRA CLAIM FEES

Total Claims	14	-20 **	=	0	X	9	=	0
Independent Claims	5	-3 **	=	2	X	42	=	84
Multiple Dependent					X		=	0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 84)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

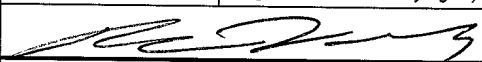
Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Patrick C. Woolley	Registration No. Attorney/Agent	39,078	Telephone	(816) 360-4280
Signature		Date	11/1/01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Bob J. Dull	Group No. Not Yet Assigned
Serial No.: Not Yet Assigned	Examiner: Not Yet Assigned
Filed: Herewith	
For: A METHOD FOR STABILIZING BRAN AND THE RESULTANT COMPOSITION	

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL

Dear Sir:

Attached please find the following:

- ☒ Utility Patent Application Transmittal (PTO/SB/05)
- ☒ Fee Transmittal for FY 2002 (PTO/SB/17)
- ☒ Patent Application (including a 9 page specification, 6 pages of claims (1-14) and a 1 page abstract)
- ☒ Declaration for Utility or Design Patent Application
- ☒ Check No. 253709 in the amount of \$484.00 (filing fee)
- ☒ Certificate of Mailing by Express Mail
- ☒ Stamped, Pre-addressed Postcard

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment, to Deposit Account No. 50-1662. A duplicate of this request is attached.

CERTIFICATE OF MAILING

I hereby certify that, on the date shown below, this correspondence is being

- ☒ deposited with the United States Postal Service in an envelope addressed to Box PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231

MAILING

37 C.F.R. §1.8
as first class mail.

- ☒ 37 C.F.R. §1.10
as "Express Mail Post Office to Addressee"
EXPRESS MAIL NO. EL759817822US

FACSIMILE TRANSMISSION

transmitted by facsimile to the Patent and Trademark Office.

Troy L. Walker
Name of Depositor

Troy L. Walker
Signature

401-01
Date

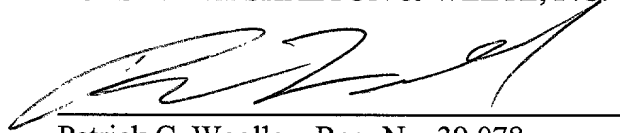
2001 NOV 1 6 39 PM '01

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Matter No. #55261
Applicant(s): Bob J. Dull			
Serial No. Not Yet Assigned	Filing Date Filed Herewith	Examiner Not Yet Assigned	Group Art Unit Not Yet Assigned
Invention: A METHOD FOR STABILIZING BRAN AND THE RESULTANT COMPOSITION			
<p> I hereby certify that a Transmittal (2 pages, in duplicate); a Utility Patent Application Transmittal (1 page, in duplicate); a Fee Transmittal for FY 2001 (1 page, in duplicate); a Patent Application (including a 9 page specification, 6 pages of claims (1-14), and a 1 page abstract); a Declaration for Utility or Design Patent Application (2 pages); Check No. 253709 in the amount of \$484.00 for filing fee; a Certificate of Mailing by Express Mail (1 page); authorization to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-1662; and a stamped, pre-addressed postcard are being mailed by U.S. Postal Service Express Mail to Addressee, to Box PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231, this 1st day of November, 2001. </p> <div style="text-align: center;"> <p> <u>Troy L. Walker</u> (Typed or Printed Name of Person Mailing Correspondence) </p> <p> <u><i>Troy L. Walker</i></u> (Signature of Person Mailing Correspondence) </p> <p> <u>EL759817822US</u> ("Express Mail" Mailing Label Number) </p> </div>			

PATENT
Atty Docket No. 55261
Express Mail Label No. EL759817822US

Respectfully submitted,

POLSINELLI SHALTON & WELTE, P.C.

A handwritten signature in black ink, appearing to read 'P. Woolley', is written over a horizontal line.

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